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 5755 Oberlin Drive #301 San Diego, CA 92121

Initial Questionnaire

Please complete this questionnaire and give us a few times that are convenient for you. We will follow up within 1 business day. Thank you for your time as this information will help us run our meeting more efficiently.

	Date	Time
1 st Preference:		
2 nd Preference:		
3 rd Preference:		

Name(s) _____

Ages(s) _____

Phone _____

Email _____

How did you hear of our firm? _____

Are you currently working with another financial advisor? Yes No

When would you like to retire? What are your plans?

Are there any other financial goals that are important to you? (to not run out of money in retirement, maintain standard of living, retire at a certain age, education funding, leave a legacy etc.)

- 1) _____
- 2) _____
- 3) _____
- 4) _____
- 5) _____
- 6) _____

What do you feel are the biggest risks or roadblocks you face in achieving your financial goals?

What particular topics and services would you like to discuss?

- | | |
|---|---|
| <input type="checkbox"/> Retirement Planning | <input type="checkbox"/> Cash / Debt Management |
| <input type="checkbox"/> Lifetime Income | <input type="checkbox"/> Life Insurance |
| <input type="checkbox"/> College Funding | <input type="checkbox"/> Other Insurance |
| <input type="checkbox"/> Portfolio Allocation / Investments | <input type="checkbox"/> Tax Reduction |
| <input type="checkbox"/> Stock Options / RSUs | <input type="checkbox"/> Wills / Trusts / Estate Planning |
| <input type="checkbox"/> Real Estate / Business Interests | <input type="checkbox"/> Philanthropy / Charitable Giving |

Financial Planning Services

- My situation is not too complicated, I'd like a general overall financial plan.
- I'd like an overall plan, but my situation is more complex. (large assets, stock options, RSUs, rental real estate, business ownership, estate planning issues)
- I'm mainly interested in a retirement plan. (if you're wondering "here's what I have, here's what I'd like to do - will it work? and how do I make it last?")
- Other: _____

Investment Portfolio Services

What are your thoughts on the management of your investment portfolio?

- I'd prefer professional investment management
- I might want professional investment management
- Unsure
- I might want to invest myself
- I definitely want to invest myself

Any other information you'd like to share?

Thank you for completing the questionnaire. We look forward to talking to you.